

08/13/01

DOCKET NO.: 19603/3431 (CRF D-2716A)  
EXPRESS MAIL NO.: EL709323413US

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY PATENT APPLICATION TRANSMITTAL FORM  
(only for new nonprovisional applications under 37 CFR 1.53(b))

ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

BOX: PATENT APPLICATION

SIR:

Transmitted herewith for filing is the patent application (including Specification, Claims, Sequence Listing (if applicable) and Abstract, **46 pages**) of:

Inventor(s): **Gary E. Harman, Bruno Donzelli, and Shiping Deng**

For : **PRODUCTION AND USE OF INDUCIBLE ENZYMES FROM TRICHODERMA  
AND BACTERIA FOR CONTROL OF PLANT PESTS AND FOR INDUSTRIAL  
PROCESSES**

*\*\*If a CONTINUING APPLICATION, please mark where appropriate and supply the requisite information below and in a preliminary amendment:*

☐ Continuation ☐ Divisional ☐ Continuation-In-Part (CIP)  
of prior application Serial No. \_\_\_\_\_

Prior application information: Examiner :  
Art Unit :

Enclosed are:

- ☒ **6 sheets** of formal drawings.
- ☐ **Signed** Combined Declaration and Power of Attorney (\_\_\_\_ pages).
- ☐ **Copy of signed** Combined Declaration and Power of Attorney (\_\_\_\_ pages) from a prior application (1.63(d) (for continuation/divisional).
- ☐ **Signed** statement deleting inventor(s) named in prior application (\_\_\_\_ pages) (1.63(d)(2) and 1.33(b)).
- ☐ **Incorporation By Reference:** The entire disclosure of the prior application, from which a **copy** of the oath or declaration is supplied herewith, is considered as being part of the disclosure of the enclosed application and is hereby incorporated by reference therein.
- ☐ Assignment (\_\_\_\_ pages) of the invention to \_\_\_\_\_.
- ☐ Assignment Transmittal Letter.
- ☐ Certified copy of a foreign priority document.
- ☐ Associate power of attorney.
- ☒ Applicant claims small entity status. (See 37 CFR 1.27.)

08/10/01  
3c759 U.S. PTO

11017 U.S. PTO  
09/27/98  
08/10/01

09927984, 081001

- ☐ Preliminary Amendment (\_\_\_\_\_ pages).
- ☐ Information Disclosure Statement, form PTO-1449 (\_\_\_\_\_ pages) and \_\_\_\_\_ references.
- ☒ **UNSIGNED** Combined Declaration and Power of Attorney (2 pages).
- ☐ Statement in Accordance with 37 CFR § 1.821(f) and computer readable 3.5" Diskette.
- ☒ A self-addressed, prepaid postcard acknowledging receipt.
- ☐ Other:


The Filing fee has been calculated as shown below:

|  | (Col. 1)  | (Col. 2)  | SMALL ENTITY |       |    | LARGE ENTITY |       |
|--|-----------|-----------|--------------|-------|----|--------------|-------|
| FOR:   | NO. FILED | NO. EXTRA | RATE         | FEE   | OR | RATE         | FEE   |
| BASIC FEE  | XXXXXXXX  | XXXXXXXX  | XXXX         | \$355 | OR | XXXX         | \$710 |
| TOTAL CLAIMS   | 54 - 20 = | 34        | x 9 =        | \$306 | OR | x 18 =       | \$    |
| INDEP CLAIMS   | 7 - 3 =   | 4         | x 40 =       | \$160 | OR | X80 =        | \$    |
| <input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED                                  |           |           | x135 =       | \$ 0  | OR | x270 =       | \$    |
| *If the Total Claims are less than 20 and Indep. Claims are less than 3, enter "0" in Col. 2 |           |           | TOTAL        | \$821 | OR | TOTAL        | \$    |

- ☒ A check in the amount of **\$821.00** to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1138. **A duplicate copy of this sheet is enclosed.**
- ☒ Address all future communications to:

Michael L. Goldman, Esq.  
NIXON PEABODY LLP  
Clinton Square, P.O. Box 31051  
Rochester, New York 14603-1051

Date: August 10, 2001

  
Michael L. Goldman  
Registration No. 30,727

NIXON PEABODY LLP  
Clinton Square, P.O. Box 31051  
Rochester, New York 14603-1051  
Telephone: (716) 263-1304  
Facsimile: (716) 263-1600

**EXPRESS MAIL CERTIFICATE**

DOCKET NO. : 19603/3431 (CRF D-2716A)

APPLICANT(S) : Gary E. Harman, Bruno Donzelli, and Shiping Deng

TITLE : PRODUCTION AND USE OF INDUCIBLE ENZYMES FROM  
TRICHODERMA AND BACTERIA FOR CONTROL OF  
PLANT PESTS AND FOR INDUSTRIAL PROCESSES

Certificate is attached to the **Patent Application Including Specification, Claims, and Abstract (46 pages) and Unsigned Combined Declaration and Power of Attorney (2 pages)** of the above-named application.

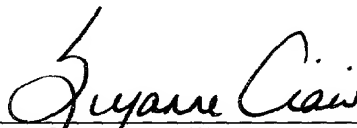
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**Suzanne Ciaio**

(Typed or printed name of person mailing  
paper or fee)



(Signature of person mailing paper or fee)

0997964-081001  
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**EXPRESS MAIL CERTIFICATE**

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PLANT PESTS AND FOR INDUSTRIAL PROCESSES

Certificate is attached to the **Utility Patent Application Transmittal Form**  
**(2 pages)** of the above-named application.

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Suzanne Ciaio  
(Typed or printed name of person mailing  
paper or fee)

  
(Signature of person mailing paper or fee)

**EXPRESS MAIL CERTIFICATE**

DOCKET NO. : **19603/3431 (CRF D-2716A)**

APPLICANT(S) : **Gary E. Harman, Bruno Donzelli, and Shiping Deng**

TITLE : **PRODUCTION AND USE OF INDUCIBLE ENZYMES FROM  
TRICHODERMA AND BACTERIA FOR CONTROL OF  
PLANT PESTS AND FOR INDUSTRIAL PROCESSES**

Certificate is attached to the **Formal Drawings (6 pages)** of the above-named application.

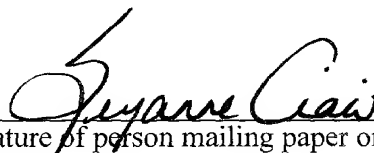
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**Suzanne Ciaio**

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